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## PATENT APPLICATION

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: A8452

David MONTAGUE, et al.

Appln. No.: 10/769,774

Group Art Unit: 3611

Confirmation No.: 9281

Examiner: Tony H. Winner

Filed: February 03, 2004

For: MANUALLY HEIGHT ADJUSTABLE AND ROTATABLE STEERING ASSEMBLY

FOR BICYCLES

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.97 and 1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicants hereby notify the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith, except for the following: U.S. patents and/or U.S. patent publications if this application was filed after June 30, 2003; and co-pending non-provisional U.S. applications filed after June 30, 2003.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after

INFORMATION DISCLOSURE STATEMENT

U.S. Appln. No.: 10/769,774

filing a request for continued examination (RCE) under §1.114, and therefore, no Statement

under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for

foreign language documents, Applicant submits the following explanations: JPA's 2001-

130463, 11-20759, 8-332986, 10-119866, 7-52862, 2000-153794, 8-198168, and EPO 0 687 620

A1, have English abstracts attached.

The submission of the listed documents is not intended as an admission that any such

document constitutes prior art against the claims of the present application. Applicant does not

waive any right to take any action that would be appropriate to antedate or otherwise remove any

listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue

Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any

overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,

Registration No. 25,200

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WASHINGTON OFFICE

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CUSTOMER NUMBER

Date: November 19, 2004

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Substitute for Form 1449 A & B/PTO			NOV 1 9 2004	Comp	olete if Known
		12	Application Number	10/769,774	
INFO	RMATION D	DISCLOS	NT TRADEWART	Confirmation Number	9281
STAT	EMENT BY	APPLICA	NT PRADEUM	Filing Date	February 3, 2004
				First Named Inventor	David MONTAGUE
(use	as many sheets	as necessar	v)	Art Unit	3611
				Examiner Name	Tony H. Winner
Sheet	1	of	1	Attorney Docket Number	A8452

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite	Document	Number	Publication Date		
	No.1	Number	Kind Code <sup>2</sup> (if known)	MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	
		US				
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FOREIGN PATENT DOCUMENTS							
	Cite	Fo	Foreign Patent Document			Name of Patentee or	
	No.1	Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Applicant of Cited Document	Translation <sup>6</sup>
		JР	2001-130463		5/15/2001	Haraguchi Katsuyuki	Abstract
		JР	11-020759		1/26/1999	Yamamoto Yasuyuki	Abstract
		UK	2 296 224 A		6/26/1996	Malcolm Graham Parry	
		JР	8-332986		12/17/1996	Kanto Auto Works Ltd.	Abstract
		EPO	0 687 620 A1		12/20/1995	Diamant Fahrradwerke GMBH (DE)	Abstract
		JР	10-119866		5/12/1998	Kondou Kikuo	Abstract
		JP	7-052862		2/28/1995	Furuno Tomoji	Abstract
		JР	2000-153794		6/6/2000	Kato Takaji	Abstract
		JР	08-198168		6/8/1996	Toyo Tire & Rubber Co. Ltd.	Abstract

NON PATENT LITERATURE DOCUMENTS					
Examiner Cite Initials* No.1		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.			
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Examiner Signature	Date Cons	sidered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to indicate here if English language Translation is attached.